HSBC

Personal Banking

HSBC Bank (Singapore) Limited
Flow Structured Notes Instruction Form

Branch and Branch code:

Account Details

Customer Name(s): _____

Investment account no. ☐☐☐ — ☐☐☐☐☐☐ — ☐☐☐ **Customer no.** ☐☐☐ — ☐☐☐☐☐☐

Transaction Information

(Please tick (✓) where applicable)

Transaction Type: ☐ I/We wish to **BUY** the following product ☐ I/We wish to **SELL** the following product

CFA with Knock-In Feature: ☐ Yes ☐ No

For Purchase/Sale of Flow Callable Floored Accrual (CFA) Structured Notes*

Product Name			**Tenor**	**Investment Currency**	**Security Code**	**Nominal Value**
No. of Securities	**Trade Date**	**Issue Date**	**Final Valuation Date**	**Maturity Date**	**Strike Level (%)**	**Barrier Level (%)**
Trigger Level (%)	**Floor Level (%)**		**Accrual Coupon (%)**		**Underlying Shares/Indices**	
Coupon Payment Frequency	**Barrier Frequency**	**Early Valuation Frequency**	**For Structured Notes Redemption only :** Redeem at _____ or better **Reason for redemption:** _____			
The initial price of the Underlying will be based on the market close price on the Trade Date						

FCN with Knock-In Feature: ☐ Yes ☐ No

For Purchase/Sale of Flow Fixed Coupon (FCN) Structured Notes*

Product Name			**Tenor**	**Investment Currency**	**Security Code**	**Nominal Value**
No. of Securities	**Trade Date**	**Issue Date**	**Final Valuation Date**	**Maturity Date**	**Strike Level (%)**	**Barrier Level (%)**
Trigger Level (%)	**Fixed Coupon (%)**		**Underlying Shares/Indices**			
Coupon Payment Frequency	**Barrier Frequency**	**Early Valuation Frequency**	**For Structured Notes Redemption only :** Redeem at _____ or better **Reason for redemption:** _____			
The initial price of the Underlying will be based on the market close price on the Trade Date						

*Please note all levels are indicative and can only be determined on or after the Trade Date. The final terms will be stated in the Confirmation Note, which will be sent to you within 5 Business Days after Issue Date.

Payment/Settlement Instructions

☐ Debit/Credit* my/our HSBC account no. ☐☐☐ — ☐☐☐☐☐☐ — ☐☐☐ (For Everyday Global Account, please specify currency_____)

☐ Debit my/our transactional account no. ☐☐☐ — ☐☐☐☐☐☐ — ☐☐☐ for changes incurred during transaction

Declaration

1. I/We have read and accepted your Risk Disclosure Statement and Terms and Conditions printed on the Investment Account Opening Booklet and agree to be bound by them.
2. I/We confirm that on Trade Date, you are authorised to withhold funds in my/our account to complete the execution of the above Trade and to debit my/our account accordingly on Settlement Date.
3. I/We have received, read and understood the material information contained in the Investment Account Opening Booklet, Services Guide and the following documents:
   For Structured Notes: All In One Document & other offering documents (if applicable).
4. I/We hereby declare that I/we are transacting for my/our own account and not on behalf of any other person or entity.
5. Customer Knowledge Assessment:
   ☐ I/we understand that, based on the outcome of my/our Customer Knowledge Assessment, I am/we are assessed not to have the relevant knowledge or experience in unlisted Specified Investment Product(s). I/we acknowledge that it is my/our responsibility to ensure that I/we understand the risks and features of the unlisted Specified Investment Product(s) that I/we intend to transact in. I/we confirm my/our instruction to transact in the unlisted Specified Investment Product(s) and I/we acknowledge that I/we will be solely responsible for my/our investment decisions.
6. I/We will indemnify and hold HSBC harmless for any indirect, consequential, collateral, special or incidental loss or damage suffered or incurred by me/us as a result of such delay. For the avoidance of doubt, indirect or consequential loss or damage includes, without limitation, loss of revenues, profits, anticipated savings or business, claims of third parties and all other associated and incidental costs and expenses.
7. I/We confirm that W8BEN form has been completed and submitted and I/we am/are eligible to trade US securities.
8. I/We understand that for transactions involving US securities as underlying, there shall be no cancellation of orders upon submission of this form.

Only for customers with existing Securities Financing Facility

☐ I/We confirm that the purchase of the above Security / Securities is financed / part-financed by the loan proceeds of my/our Securities Financing facility and I/we understand that I/we am/are bound by the terms and conditions set out in the Letter of Offer and Memorandum of Charge, which I/we have signed and accepted.

Signature of Main Account Holder

SV

Date _____

Signature of Joint Account Holder

SV

Date _____

Signature of Joint Account Holder

SV

Date _____

Signature of Joint Account Holder

SV

Date _____

For Bank use only

For structured notes redemption only: Actual Redemption Price: Total Settlement Proceeds (Actual Redemption Price x Normal Amount):

For Securities Financing (877) (Verification for all Buy Trades) Buy Trade: Validate against LO, &/or Structured Product listing Verified by (CSM/PSM/SM/TM)

Sales Staff ID (Mandatory field by sales staff)	If FX involved, any pre-booked FX rate for the transaction? ☐ No, please use prevailing rate in system	Authorised signature, branch stamp & name stamp	Trade date
EGA Account No. with actual account suffix	☐ Yes, FX rate _____ Dealer's reference: _____		Settlement date

Data Protection Policy

The personal data you are submitting is being collected for the purposes stated in HSBC's Data Protection Policy, a copy of which may be found at https://www.hsbc.com.sg/privacy-statement.

Issued by HSBC Bank (Singapore) Limited (Company Registration No. 201420624K).

Effective from 11 August 2020

HBSP/CVM/WEL/SP002